

INITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Martin de Tezanos Pinto, et al.

060,965

Application No.: 10/050,901

Filed: October 19, 2001

For: RECIRCULATING ANODE

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56, 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- Supplemental Information Disclosure Statement under 37 C.F.R. §1.56, 1.97 and 1.98
- Form PTO-1449 (1 page) with 8 references
- Return postcard.

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TC 1700

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 29, 2002 Date of Deposit

Robin L. Clow Name of Person Mailing Paper

Signature of Person Mailing Paper

Applicant believes no fees are due in connection with this Petition. However, if any fees are in fact due, the Commissioner is hereby authorized to be charged to our Deposit Account No.08-3038 referencing Docket No. 04813.0013.NPUS00.

Respectfully submitted,

Dated: May 21, 2002.

Robert C. Laurenson, Reg. No. 34,206

HOWREY SIMON ARNOLD & WHITE, LLP

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HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Martin de T. Pinto, et al.

Application No.: 10/060,965

Filed: October 19, 2001

For: RECIRCULATING ANODE

Art Unit: 1741

Examiner: To be assigned

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Col

Supplemental Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

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Applicant hereby submits, without admission of prior art effect thereof, the documents listed on the accompanying Form PTO-1449 pursuant to the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

| CERTIFICATE OF MAILING | |
|------------------------|--|
| (37 C.F.R. §1.8a) | |

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 29, 2002 Date of Deposit

Robin L. Clow

Name of Person Mailing Paper

Howrey Docket No.: 04813.0013.NPUS00

This Information Disclosure Statement is being filed within three months of the U.S. filing date or before the mailing date of a first Office Action on the merits, therefore no statement under 37 C.F.R. § 1.97(e) or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. **08-3038**, referencing Howrey Docket No. 04813.0013.NPUS00.

Respectfully submitted,

Date: May 21, 2002

Robert C. Laurenson, Reg. No. 34,206

HOWREY SIMON ARNOLD & WHITE, LLP Box No. 34 301 Ravenswood Avenue Menlo Park, CA 94025 FAX No. (650) 463-8400 Telephone No. (949) 759-5269

FORM PTO-1449

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 04813.0013.NPUS00

SERIAL NO. 10/060,965

APPLICANT:

Martin de Tezanos Pinto, et al.

FILING DATE: October 19, 2001 **GROUP:**

1741

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|-----------------|----------|-----------------|-------|--------------|----------------|
| | 5,346,778 | 09/13/94 | Ewan, et al. | 429 | 19 | 08/13/92 |
| | 5,885,727 | 03/23/99 | Kawatsu | 429 | 17 | 05/23/97 |
| | 6,186,254 | 02/13/01 | Mufford, et al. | 180 | 65.3 | 05/29/96 |
| | 6,230,496 | 05/15/01 | Hofmann, et al. | 60 | 706 | 06/20/00 |
| | 6,242,873 | 06/05/01 | Drozdt, et al. | 318 | 139 | 01/31/00 |
| | 6,321,145 | 11/20/01 | Rajashekara | 701 | 22 | 01/29/01 |
| | 6,326,763 | 12/04/01 | King, et al. | 320 | 101 | 12/20/99 |
| | | | | | | |
| | | | | REOF | ļ | |

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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------|------------------|-----------------------------|-------|--------------|--------------|------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY | CLASS | SUB CLASS | TRANS YES | LATI NO |
| | EP 0755088A2 | 01/22/97 | European Patent Application | | | | X |
| | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | |
|--|------|----------|--|--|
| | NONE | | | |
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| EXAMINER: | DATE CONSIDERED: | | | |
|---|------------------|--|--|--|
| Examiner | | | | |
| EVANIABLE. Initial if reference is considered, whether or not citation is in conformance with MDED 600; Draw line through | | | | |

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant